

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

	Sheet 1 of 1
Atty Docket No.	Serial No.
A01399	10/634,088
Applicant	
	Hoefler
Filing Date	Group
08/04/03	1714

		-	U.S. Pa	tent Documents	<del></del>	
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
UAT	AA	2,577,485	12/4/51	Rule	-	
MAT	AB	2,984,629	05/16/61	Loftman et al		
MAT	AC	5,856,379	01/05/99	Shiratsuchi et al		
MS T	AD	6,323,260 B1	11/27/01	Koski et al		
	AE					
	AF					
	AG					
	AH					
	Al					
	AJ		<del></del>			•
	AK					
			FOREIGN PA	ATENT DOCUMENTS	<del></del>	· .
		DOCUMENT NUMBER	DATE	, COUNTRY	Class/Subclass	Translation Yes/No
	AL		•			
	AM					
	AN					
	AO					
	AP					
		OTHER ART (Inc	luding Autho	or, Title, Date, Pertinent F	Patents, etc.)	
	AR			<u> </u>		
	AS		.:			<del></del>
•	AT			<u> </u>	·	
Examiner		~ ·		Date Considered	- ( + /2	

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Rohm and Haas Company Modified Form PTO 1449

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction A omplete if Known Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Joinpiete II Allowii			
Application Number	10/634,088		
Filing Date	08/04/03	_	
First Named Inventor	Joseph M. Hoefler		
Art Unit			
Examiner Name			
Attorney Docket Number	A01399		

Examiner Initials*	Cita No.	Document Number  Number-Kind Code <sup>2 pr Incomp</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MOT		<sup>US-</sup> 5,985,953	11/16/99	Lightsey et al	
MAT		<sup>US-</sup> 5,889,090	03/30/99	Tooley et al	
NAT		<sup>US-</sup> 5,763,388	06/09/98	Lightsey et al	
MAT		<sup>US-</sup> 5,663,224	09/02/97	Emmons et al	
UAT		<sup>US-</sup> 5,385,960	01/31/95	Emmons et al	•
MAG		<sup>US-</sup> 4,763,051	08/09/88	Ruppert	1
MAT		<sup>US-</sup> 3,649,582	03/14/72	Wesp	
MAT		<sup>US-</sup> 3,686,113	08/22/72	Burke	·
MAT		<sup>US-</sup> 3,290,165	12/6/66	lannicelli	
		US-			
		US-	<u> </u>		

FOREIGN PATENT DOCUMENTS							
	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π	
	<u> </u>	Country Code <sup>3</sup> Number <sup>4</sup> Trind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰	
MAT		EP 0 849 320 A1	06/24/98	DSM Copolymer			
				<u> </u>		L	
	<u> </u>				ļ ·		
	<u> </u>				<u> </u>	L	
	ŀ	<u> </u>					

Examiner		Date	
Signature	M		1
Signature		Considered	12005 \2005
	L rexton		

\*EXAMINER: tritial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Lapanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistence in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2008, OMB 9851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRADE Under the Paperwork Reduction Act required to respond to a collection of information unless it contains a valid OMB control number. Compl te if Known Substitute for form 1449/PTO Application Number 10/634,088 INFORMATION DISCLOSURE Filing Dat 08/04/03 STATEMENT BY APPLICANT First Named Inventor Joseph M. Hoefler Art Unit (Use as many sheets as necessary) **Examiner Name** Sheet Attorney Docket Number 2 A01399 of

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
JAE-HYUN SO, et al, Microstructure and rheological behaviour of electrosterically stabilized silica particle suspensions, Colloids and Surfaces 190 (2001) 89-98				
		·		
•				
-				

Examiner		Date	0 4 4
Signature	Ma, Theston	l	2005 Jest 9
Uig. later	- AT THE STAN	. Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiatify is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. Oo NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.